# Natural Language Generation for Embodied Conversational Agents

Day 4

Kristina Striegnitz

ESSLLI 2008 Hamburg, Germany

#### Today

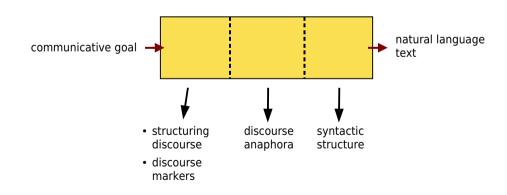
- Discourse and its verbal and non-verbal correlates
- Features of Dialogue (and modeling them in ECAs)
- NLG in dialogue systems architecture

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

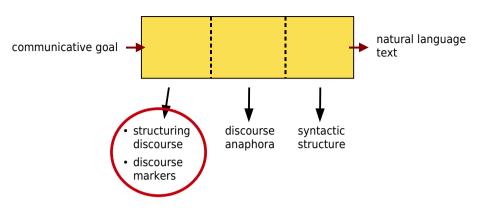
#### Discourse

- sequence of connected sentences  $\rightarrow$  coherence
- · verbal correlates of coherence
  - discourse markers
  - discourse anaphora
  - reuse of lexical items
  - syntactic structures and intonation expressing information structure
- non-verbal correlates of coherence
  - anaphoric gestures
  - reuse of gesture
  - posture
  - rhythmic elements expressing emphasis/information structure

# Discourse generation



## Discourse generation



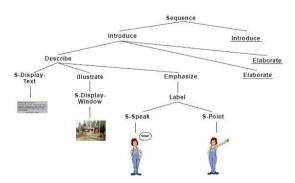
- ⇒ schemata (McKeown 1985): templates for how to arrange information
- $\Rightarrow$  planning based (Hovy 1991, Paris & Moore 1993): discourse relations as planning operators

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## Discourse Planning for multimodal media presentations

Andre et al. 1998

- presentation pieces (text, pictures, actions of the presenter) are related by discourse relations
- planning operators specify how (which pieces can be related through which discourse relation to fulfill which goal)

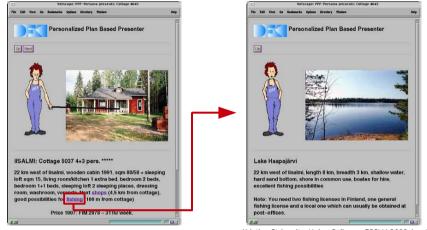


## Discourse Planning for multimodal media presentations

• goal: automatically generate

Andre et al. 1998

- 1) a web site presenting a certain object
- 2) a script for a virtual presenter



Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## Today

- Discourse and its verbal and non-verbal correlates
- Features of Dialogue (and modeling them in ECAs)
- NLG in dialogue systems architecture

## Coherence in dialogue

- within turns
- · and across turn units

# Special dialogue features

- turn-taking
- · grounding
- collaborative utterances

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## Turn-taking

- dialogue participants take turns speaking
- speaking turns are not pre-determined but assigned dynamically during the conversation
  - very little overlap
  - few long pauses
- turn-taking follows rules
- participants use cues to coordinate speaking turn

## Turn-taking rules (Sacks et al. 1974)

- The current speaker can select the next speaker (through verbal or non-verbal cues). At the next turn transition point.
- If the current speaker does not select the next speaker, any participant can take the turn at the next turn transition point.
- If no one takes the turn at the next turn transition point the current speaker can re-claim the turn.

#### Turn-taking cues

[Duncan 1972, 1974, Argyle & Cook 1976, Novick et al. 1996]

- · turn-yielding cues:
  - intonation
  - drawl of final syllable
  - moving hands out of gesture space
  - certain expressions "or something", "you know" (and trailing off)
  - completion of clause
  - · gaze at addressee
- turn-keeping cues:
  - continue to gesture
  - look away from speaker

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## Gaze for turn-taking in a talking head

[van Es et al. 2002]

- 3 versions of a talking head:
  - gaze for turn taking cues: look away when starting to speak; look at addressee when ending
  - stare at addressee
  - change gaze randomly
- task: make reservations for concert tickets
- results: Subjects like the turn-taking version better and complete the task faster.

#### Turn-taking cues

[Duncan 1972, 1974, Argyle & Cook 1976, Novick et al. 1996]

- turn wanting/taking cues:
  - bring hands into gesture space
- · turn transitions:
  - mutual gaze (previous speaker and next speaker); then new speaker looks away
- · turn avoiding:
  - backchannel feedback

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

# Grounding

- process of ensuring mutual understanding
- contributions to dialogue consist of two phases: presentation and acceptance [Clark & Schaefer 1989]

Alan: Now, - um, do you and your husband have a j- car

Barbara: - have a car?

Alan: Yeah Barbara: No -

ara: No - [Clark & Brennan 1991]

#### Evidence for understanding

- acknowledgement (verbal feedback, continuers, head nods)
- relevant next contribution
- continued attention
- demonstration (reformulating, pointing)
- display (repeating)

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## The speaker's role

- obviously: react to negative feedback
- · prompt for feedback
  - installment presentation

A: [looking down] you know there is the arch right [look at B] right

B: Mhm

A: at the curve?

[look down] ahm if you were to go south [look at B] there is a church

B: right

trial constituents

A: [looking down] and you know you are veering left if you you know like [look at B] those gates that come down you know like for cars like those B: ah okay yah

A: you know gate you'll go through one of those

B: okay

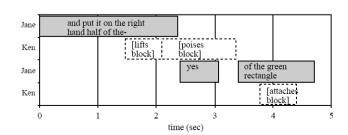
#### Non-understanding

- addressee didn't notice utterance reasons:
  - noticed but didn't correctly hear
  - heard but didn't understand
- evidence (depends on reason)
  - not attentive (looking away)
  - question, other verbal feedback
  - facial expression, head movement, gaze

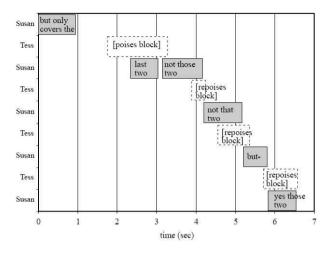
Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

#### Collaborative contributions

- A. um the problem is a that you(('ve)) got to get planning consent -
- B. before you start -
- A. before you start on that part, yes
- A. you can do anything internally, you wish
- B. but the big stuff is, the external stuff [continues]



#### Collaborative contributions



Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

MACK: Eye gaze cues for grounding

[Nakano et al. 2003]

Example

## Eye gaze cues for grounding

[Nakano et al. 2003]

- Agent's gaze behavior is determined by dialog moves.
- Mimics patterns of humans found in data.
- User's gaze behavior is monitored and used to assess understanding.
  - continuously looking at map → understanding
  - looking up and back at map before a certain (time) threshold → understanding
  - looking up for longer → not understanding → elaborate
- Test subjects interact with the agent with this grounding behavior and an agent without this grounding behavior.
  - → gaze patterns of the subjects interacting with the grounding agent are much more similar to gaze patterns in human-human data

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## MAX: Giving feedback

[Kopp et al. 2007]

Underst.	1.0	0.8	0.8	0.6	0.6	1.0	
Verbal						"Ja, ich bin begeistert."	
Head		Tilt		Tilt		Nod	
Browes					Frown		
Human	"Bielefeld	ist	eine	tolle	Stadt"		

Underst.	1.0	1.0	0.8	0.8	0.8	0.4	0.4	0.4
Verbal						"Wie bitte?"		
Head						Nod	Tilt	Tilt
Browes								Frown
Human	"Bielefeld	liegt	direkt	am	Totoburger	Wald	glaube	ich"

# REA: Non-verbal behavior for discourse structure, conversation structure and information structure

[Cassell et al. 2001]

Discourse level info.	functions	non-behavior cues
Discourse structure	new segment	Posture_shift
Conversation structure	turn giving	eye_gaze & (stop_gesturing hand_gesture)
	turn keeping	(look_away keep_gesture)
	turn taking	eye_gaze posture_shift
Information structure	emphasize information	eye_gaze & (beat_gesture other_hand_gestures)

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## **Today**

- Discourse and its verbal and non-verbal correlates
- Features of Dialogue (and modeling them in ECAs)
- NLG in dialogue systems architecture

#### SASO: A rich gaze model

[Lee et al. 2007]

goal: use gaze to convey internal state of the agent

conversational function of gaze:

Cognitive Operation	Behavior	Quality	Reference	
Planning speech	Gaze aversion	Slower, offset from eyes	[2]	
Start an utterance	Look at hearer	Focus, track	[2]	
During speech	Look at hearer	Slow, focus, track	[11, p.99]	
Utterance is a rejection or counter-proposal	Gaze aversion (Avoid threat)	Slow, Sideways- down	[11, p.92-99]	
Utterance is reluctant acceptance	Gaze aversion	Slow, Sideways- down	[2]	
Utterance is about past event	Gaze aversion	Slow, Sideways-up	[2]	
Done speaking	Look at hearer	Slow	[2]	
Hold turn	Gaze aversion	Slow, offset from eyes	[2]	
Listen to speaker	Look at speaker	Weak-focus, track	[11, p.101]	
Interpret speaker's utterance	Look at speaker	Weak-focus, track	[11, p.121]	
Expect speech from the other	Look at speaker	Weak-focus, track	[11, p.121]	
Wait for grounding (acknowledgement or repair)	Look at other	Weak-focus, track	[2]	

gaze is also used to express monitoring of changes in the environment and "thinking" (updating believes)

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## Consequences for generation architecture

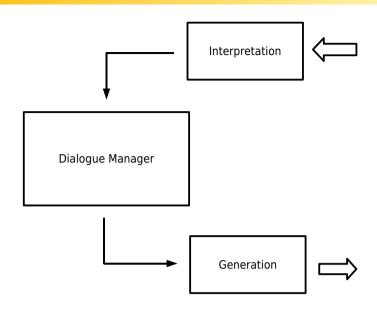
- utterances often fulfill multiple communicative goals
  up to now: communicative-goal = propositional content to express
  in dialogue: propositional content + taking/keeping/giving turn
  giving/requesting feedback
- timing important → time for planning limited
- (self-)interruptions

#### Modeling communicative intentions in dialogue: dialogue acts

- the actions(s) performed by an utterance (e.g., assert, acknowledge, inforequest)
- can have to do with conveying information and also with conversational functions (grounding, turn taking)
- · different classifications have been proposed
- an utterances often performs more than one dialogue acts
  - $\rightarrow$  the communicative goal for an utterance can ask for several dialogue acts to be performed

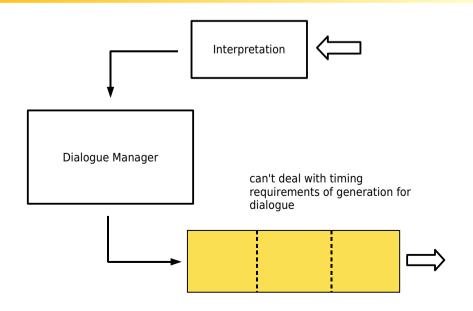
Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

#### Dialogue system architecture



Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## Dialogue system architecture

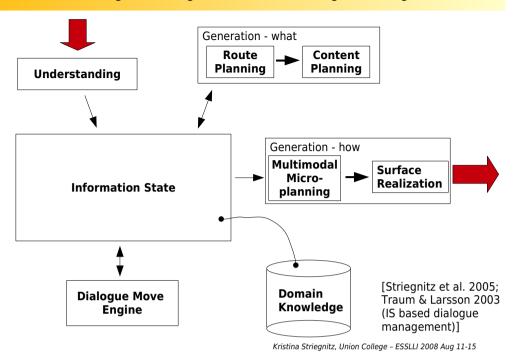


## NUMACK: integration of generation and dialogue management

- typical structure of our dialogues:
  - 1) usr greets
  - 2) sys introduces itself and asks for destination
  - 3) usr provides destination
  - 4) sys give route description
- route descriptions are several sentences long (monologic)
- but user should be able to interrupt to ask for clarification



#### NUMACK: integration of generation and dialogue management



# Online direction giving

- GIVE (Giving instructions in virtual environments) www.give-challenge.org
- task: generate instructions to guide a human user/player through a 3D environment
- · need to react fast to user going wrong
- involves replanning actions and interrupting/abandoning current plan



Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## NUMACK: integration of generation and dialogue management

- information state contains a dialogue plan:
  1.findout(?x.destination(x))
  2.call\_content\_planner
  3.realize content plan
- generation-how adds content plan to information state:

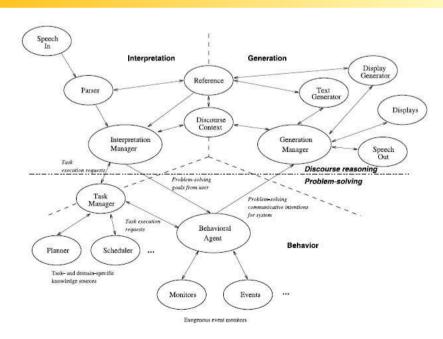


- Agenda of next system moves can be refilled from the content plan (if the current item in the dialogue plan is *realize content plan*.
- Obligations to answer negative user feedback get pushed onto the agenda as they come in.

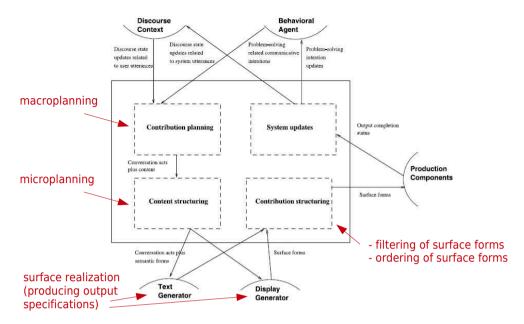
Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## Example: TRIPS - NLG in a dialogue system

[Stent 2002]



#### TRIPS: Generation Manager



Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15

## Today

- Discourse and its verbal and non-verbal correlates
- Features of Dialogue (and modeling them in ECAs)
- NLG in dialogue systems architecture

Tomorrow: ECAs as social actors – expressing emotion and personality Evaluating ECAs

#### Today

- Discourse and its verbal and non-verbal correlates
- Features of Dialogue (and modeling them in ECAs)
- NLG in dialogue systems architecture

Tomorrow: ECAs as social actors – expressing emotion and personality Evaluating ECAs

Kristina Striegnitz, Union College - ESSLLI 2008 Aug 11-15